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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

eduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(To be used for all correspondence after initial filing)

Application Number	09/364,794
Filing Date	JULY 30, 1999
First Named Inventor	J. ERIC BERGE
Group Art Unit	3653
Examiner Name	UNKNOWN
Attorney Docket Number	7480-PA1CP2

			Examiner Name	UNKNOW	N			
Total Number of Pages in This Submission 6		Attorney Docket Number	7480-PA10	CP2				
ENCLOSURES (check all that apply)								
Assignm (for an A)		Routing Slip (PTO/SB/69) and panying Petition to Convert to a Provisional		After Allowance Communication to Group Appeal Communications to Board of Appeals and Interferences Appeal Communication to Group (Appeal Hobice, Brief, Reply Brief)  Proprietary Information  Status Letter  Additional Enclosure(s) (please identify below):  REQUEST FOR CORRECTION AND REISSUANCE OF OFFICIAL FILING RECEIPT; COPY OF FILING RECEIPT ISSUED IN ERROR; RETURN: POSTCARD				
	SIGNATURI	E OF APPI	LICANT, ATTORNEY, OR AC	GENT				
ATTORNEY NAME FIRM	V. III. 20 17. 1100 2 111 11. 11. 11. 11. 11. 11. 11. 11. 1							
SIGNATURE								
DATE	DATE OCTOBER 6, 1999							
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:								

	CERTIFICATE OF MAILING		
I hereby certify that this cor addressed to: Assistant Co	respondence is being deposited with the United States Postal Service a commissioner for Patents, Washington, D.C. 20231 on this date:		
	orthinssioner for raterics, washington, D.C. 2023 For this date:	[001	OBER 6, 1995
Typed or printed name	JAMES W. McCLAIN		
Signature	(W	Dato	OCTORED 6 1000

BEST AVAILABLE COPY

Date

OCTOBER 6, 1999

PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032

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## FEE TRANSMI

for FY 1999

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 2500

Signature

Complete if Known				
Application Number	09/364,794			
Filing Date	JULY 30, 1999			
First Named Inventor	J. ERIC BERGE			
Examiner Name	UNKNOWN			
Group / Art Unit	3653			
Attorney Docket No.	7480-PA1CP2			

METHOD OF PAYMENT (check one)				FEE	CALCULATION (continued)	
4 D The Commission with book and to the order	3. A	DDITIO	ONAL	FEES		
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		e Entity		I Entity		
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit 02-4070	105	130	205	65	Surcharge - late filing fee or oath	
Number Deposit		50	227	25	Surcharge - Late provisional filing fee or cover sheet	
Account Name BROWN, MARTIN, HALLER & McCLAIN	139	130	139	130	Non-English specification	$\vdash$
<u> </u>		2,520	147	2,520	For filing a request for reexamination	<del>  </del>
Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. 🛛 Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
☐ Check ☐ Money ☐ Other Order	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	380	216	190	Extension for reply within second month	
1. BASIC FILING FEE	117	870	217	435	Extension for reply within third month	
Large Entity Small Entity	118	1,360	218	680	Extension for reply within fourth month	
Fee Fee Fee Fee Code (\$) Fee Description Fee Paid	128	1,850	228	925	Extension for reply within fifth month	
101 760 201 380 Utility filing fee	119	300	219	150	Notice of Appeal	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of appeal	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	
108 760 208 380 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	141	1,210	241	605	Petition to revive - unintentional	
	142	1,210	242	605	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Fee from below Fee Paid	143	430	243	215	Design issue fee	
Total Claims -20**= X =	144	580	244	290	Plant issue fee	
Independent - 3**= X =	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent =	123	50	123	50	Petitions related to provisional applications	
or number previously paid, if greater. For Reissues, see below.	126	240	126	240	Submission of Information Disclosure Stmt	<b></b>
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	<b>—</b>
103 18 203 9 Claims in excess of 20	146	760	246	380	Filing a submission after final rejection	
102 78 202 39 Independent claims excess of 3					(37 ČFR 1.129(a))	
104 260 204 130 Multiple dependent claim, if not paid	149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
109 78 209 39 **Reissue independent claims over original patent				REQUE	QUEST FOR CORRECTION AND REISSUANCE \$20	
	Other fee (specify)		OF FILING RECEIPT		<b></b>	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Othe	er fee (sp	ecify)		·	
SUBTOTAL (2) (\$)	• Red	uced by E	Basic Fi	ling Fee F	Paid SUBTOTAL (3) (\$) 25.00	
SUBMITTED BY					Complete (if applicable)	
	Pa	nistration	No	0, ===		
Name (Print/Type) JAMES W. McCLAIN	(Att	gistration omey/Age	nt)	24,536	Telephone (619) 238-0999	

OCTOBER 6, 1999

Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of	)
	) FOR: VACUUM PNEUMATIC
J. ERIC BERGE et al.	) SYSTEM FOR CONVEYANCE
	) OF ICE
Serial No.: 09/364,794	)
	) Group
Filed: July 30, 1999	) Art Unit: 3653

## REQUEST FOR CORRECTION AND REISSUANCE OF OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Dear Sir:

After a review of the Official Filing Receipt recently received, an error in the second inventor's name has been noted. It is requested that this error be corrected and that Applicant be provided with a new Official Filing Receipt.

to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

OCTOBER 6, 1999

(Mailing Date)

PAMES W. McCLAIN

(Types Name)

(Signature)

OCTOBER 6, 1999

(Date of Signature)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed

00/1 /0.000 TUSHIO 00000005 (5364734

3

Enclosed for your convenience is a copy of the filing receipt showing the error circled in red. You will note that the second inventor's name is "ALFRED A. SCHOEDER" when it should have been -- ALFRED A. SCHROEDER--. Please correct the error so that the second inventor's name will read correctly as --ALFRED A. SCHROEDER--.

Applicant respectfully requests that this error be corrected and Applicant be provided a new Official Filing Receipt. Enclosed is a check in the amount of \$25.00 to pay the necessary fee due under C.F.R. § 1.19(h).

Respectfully submitted,

Dated: 10/6/

James WIMcClain

Attorney for Applicant Registration No. 24,536

BROWN, MARTIN, HALLER & McCLAIN 1660 Union Street

San Diego, California 92101 Telephone: (619) 238-0999 Facsimile: (619) 238-0062

Docket No.: 7480-PA1CP2







UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CI	IND O
09/364,794	07/30/99			7480-PA1CP2		162	IND CL
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JAMES W MCLAIN BROWN MARTIN HALLER & MCCLAIN LLP 1660 UNION STREET SAN DIEGO CA 92101

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts Notice." In this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice." the PTO will generate another Filing Receipt incorporating the requested

Applicant(s)

J. ERIC BERGE, IRVINE, CA: GLENN S. SEAMARK, LAKE FOREST, CA; ALFRED A. SCHOEDER. SAN ANTONIO, TX; MARK A. MCCLURE, CHINO HILLS, CA; DANIEL A. GLIMN, ANAHEIM HILLS, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-THIS APPLN IS A CIP OF 09/207.075 12/07/98 WHICH IS A CIP OF 09/128,050 08/03/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/19/99 TITLE
VACUUM PNEUMATIC SYSTEM FOR CONVEYANCE OF ICE

PRELIMINARY CLASS: 209

DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 08/19/99